

Homework #9: Complexity Theory
Due Date: Friday, 30 November 2012

Guidelines

Please make sure you adhere to the policies on collaboration and academic honesty as outlined in the syllabus.

Reading

Chapter 9.1, Chapter 34

Problems

Problem A (25 points)

“Work entirely on your own.”

Assume that you are given a collection of n items that belong to an underlying total order, and that the only information you can gather is by performing a comparison “ $e_j < e_k$?” for arbitrary elements e_j and e_k . Show that you can determine the *second largest* of the elements using at most $n + \lceil \lg n \rceil - 2$ comparisons.

Problem B (25 points)

“Work entirely on your own.”

Chapter 34 gives a construction for reducing an instance of the 3-CNF-SAT problem to an instance of the SUBSET-SUM problem. Describe the precise set S of numbers and the target value t that corresponds to the 3-CNF formula

$$\phi = (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_2 \vee \neg x_3 \vee x_4) \wedge (\neg x_1 \vee x_2 \vee \neg x_4).$$

Describe which subset corresponds to the satisfying assignment of

$$x_1 = 1, x_2 = 0, x_3 = 1, x_4 = 0.$$

Problem C (25 points)

“Work entirely on your own.”

A boolean formula is in *disjunctive normal form* (DNF) if it consists of a disjunction (“or”) of terms, each of which is the conjunction (“and”) of one or more literals. For example, the formula

$$(\neg x_1 \wedge x_2 \wedge \neg x_3) \vee (x_2 \wedge x_3) \vee (x_1 \wedge \neg x_2 \wedge \neg x_3)$$

is in disjunctive normal form. The DNF-SAT problem asks whether such a formula is satisfiable.

1. Shows that DNF-SAT is in P.
2. Show that any CNF formula with at most three literals per clause can be converted to a DNF formula by repeated application of the distributive law. For example, $(x_1 \vee x_2 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_2)$ is equivalent to $(x_1 \wedge \neg x_2) \vee (x_2 \wedge \neg x_1) \vee (\neg x_3 \wedge \neg x_1) \vee (\neg x_3 \wedge \neg x_2)$.
3. What is the error in the following argument that $P = NP$?

If given an instance of 3-CNF-SAT, which is an NP-hard problem, we can convert the formula to DNF, based on part (2), and then apply the polynomial algorithm from part (1). We therefore have a polynomial-time algorithm for an NP-hard problem, and thus $P = NP$.

Problem D (25 points)

“You may discuss ideas with other students.”

Problem 34-3 parts (d–f) only.

Problem E (**EXTRA CREDIT – 10 points**)

“You may discuss ideas with other students.”

Prove that any algorithm for finding the second largest of n elements (as in Problem A) requires $n + \lceil \lg n \rceil - 2$ comparisons in the worst case.