

# Priority Queue with Heap

## Prim's Algorithm Revisited

### Proofs

---

CSCI 3100

## Review & Overview

---

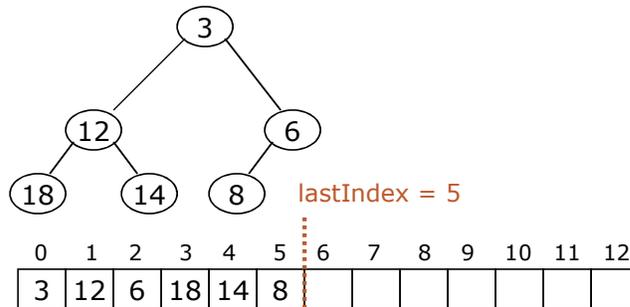
### Last week

- Minimum spanning trees (MST)
- Kruskal's algorithm
- Prim's algorithm
- Priority queue (started)

### Today

- Priority queue implementation using a heap
- Help with proofs (based on your feedback)
- Prim's algorithm revisited

## Array representation of a heap



Left child of node  $i$  is  $2*i + 1$ , right child is  $2*i + 2$

- Unless the computation yields a value larger than  $\text{lastIndex}$ , in which case there is no such child

Parent of node  $i$  is  $(i - 1)/2$

- Unless  $i == 0$

## Using the heap

To add an element:

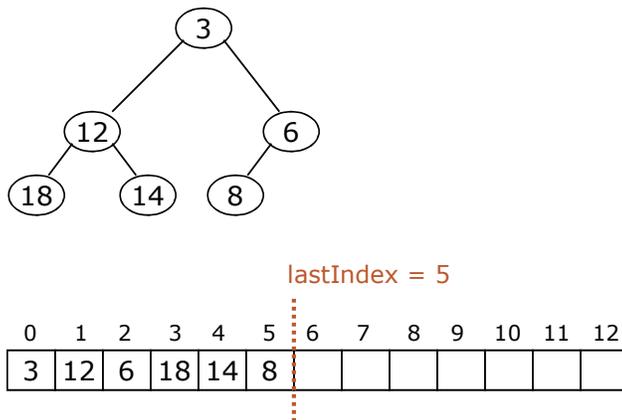
- Increase  $\text{lastIndex}$  and put the new value there
- Reheap the newly added node by swapping with parent node, until heap property is restored
  - This is called up-heap bubbling or percolating up
  - Up-heap bubbling requires  $O(\log n)$  time

To remove an element:

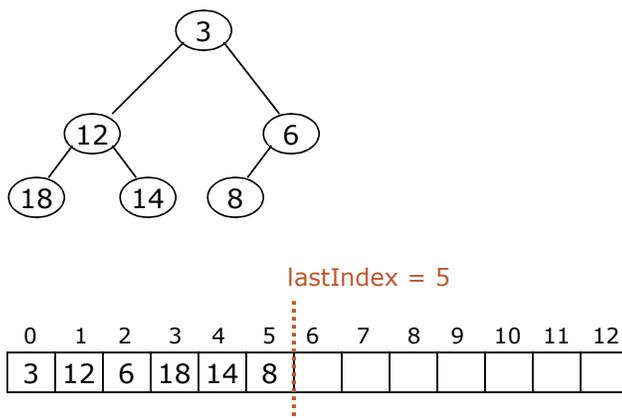
- Remove the element at location 0
- Move the element at location  $\text{lastIndex}$  to location 0, and decrement  $\text{lastIndex}$
- Reheap the new root node (the one now at location 0) by swapping with smallest child element until heap property is restored
  - This is called down-heap bubbling or percolating down
  - Down-heap bubbling requires  $O(\log n)$  time

Thus, it requires  $O(\log n)$  time to add *and* remove an element

## Example: up-heap (percolate up)



## Example: down-heap (percolate down)



## Comments

---

A priority queue is a data structure that is designed to return elements in order of priority

Efficiency is usually measured as the *sum* of the time it takes to add and to remove an element

Simple implementations take  $O(n)$  time

A heap implementation takes  $O(\log n)$  time

Thus, for any sort of heavy-duty use, a heap implementation is better

7

## Proof or Fluff

---

Let  $T=(V, E)$  be a tree. Prove that  
 $|E| = |V| - 1$

Consider the following proof by induction on  $V$ :

Base case: Clearly, this is true for  $|V|=1$  and  $|V|=2$ .

Inductive hypothesis: suppose true for trees with  $|V|-1$  vertices. Then this tree has  $|V|-1-1$  edges. We can construct another tree by adding one new vertex and connecting it to one of vertices in the tree with one edge. Thus, we have a tree with  $|V|$  vertices and  $|V|-1-1+1 = |V|-1$  edges. This proves that  $|E|=|V|-1$ .

**A. This proof is valid**

**B. This proof is flawed because it proves that there exists a tree with  $|E|=|V|-1$  and not the general case**

**C. This proof is flawed because it doesn't prove that the newly constructed tree is in fact a tree.**

**D. This proof is flawed for some other reason**

Let  $T=(V, E)$  be a tree. Prove that  $|E| = |V| - 1$

Consider the following proof by induction on  $V$ :

Base case: Clearly, this is true for  $|V|=1$  and  $|V|=2$ .

Inductive hypothesis: suppose true for trees with  $n < |V|$  vertices.

Let  $T$  be a tree with  $|V|$  vertices. Let  $e$  be an edge connecting vertices  $u$  and  $v$  in  $T$ . Since  $T$  is a tree, there is a unique path from  $u$  to  $v$  and it has to be via edge  $e$ . If we remove  $e$ ,  $T$  will become disconnected. Now  $T-\{e\}$  consists of two components  $T_1$  and  $T_2$  and those components are trees (since there were no cycles in  $T$  to begin with).

Let  $n_1$  be the number of vertices in  $T_1$  and  $n_2$  be the number of vertices in  $T_2$ , so  $n_1+n_2=|V|$ .

Also  $0 < n_1 < |V|$  and  $0 < n_2 < |V|$ . By inductive hypothesis the number of edges in  $T_1$  is  $n_1-1$  and the number of edges in  $T_2$  is  $n_2-1$ . Thus, the number of edges in  $T$  is  $n_1-1+n_2-1+1=n_1+n_2-1=|V|-1$ .

**A. This proof is valid**

**B. This proof is flawed because  $n_1+n_2=|V|$  is false**

**C. This proof is flawed because  $T_1$  and  $T_2$  are not guaranteed to be trees.**

**D. This proof is flawed for some other reason**

**Claim:** If  $G$  is an undirected graph on  $n$  vertices, where  $n$  is an even number, then if every vertex of  $G$  has a degree of at least  $n/2$  then  $G$  is connected.

**Proof:** Assume,  $G$  is not connected, so there are at least two connected components  $c_1$  and  $c_2$ . Since every vertex must have degree of at least  $n/2$ , a vertex in  $c_1$  is connected to at least  $n/2$  other vertices i.e. there are at least  $(n/2)+1$  vertices in  $c_1$ . Similarly, in  $c_2$  there must be at least  $(n/2)+1$  vertices.

This gives total number of vertices  $n/2+1+n/2+1=n+2$  which is a contradiction since we have only  $n$  vertices. Hence  $G$  must be connected.

**A. This proof is valid**

**B. This proof is flawed because there may be more than two connected components**

**C. This proof is flawed because it assumes that  $G$  is not connected**

**D. This proof is flawed for some other reason**

**Claim:** If  $G$  is an undirected graph on  $n$  vertices, where  $n$  is an even number, then if every vertex of  $G$  has a degree of at least  $n/2$  then  $G$  is connected.

**Proof:** Assume that  $G$  is connected. Since it is connected, then by definition there exists a path between any two vertices, and there must be at least  $n=2$  vertices in  $G$ . Each vertex in  $G$  has a degree of at least one.

Adding edges to a graph that is already connected (in order to satisfy the requirement that every node has a degree of at least  $n/2$ ) does not destroy its connectivity, and so the claim is true.

**A. This proof is valid**

**B. This proof is flawed because you cannot add edges to a graph**

**C. This proof is flawed because it assumes that  $G$  is connected**

**D. This proof is flawed for some other reason**

## Prim's algorithm with priority queue

---

```

MST-PRIM( $G, w, r$ )
1  for each  $u \in G.V$ 
2     $u.key = \infty$ 
3     $u.\pi = \text{NIL}$ 
4   $r.key = 0$ 
5   $Q = G.V$ 
6  while  $Q \neq \emptyset$ 
7     $u = \text{EXTRACT-MIN}(Q)$ 
8    for each  $v \in G.Adj[u]$ 
9      if  $v \in Q$  and  $w(u, v) < v.key$ 
10        $v.\pi = u$ 
11        $v.key = w(u, v)$ 

```

## Prim's algorithm example

---

